



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/091,567	
			Filing Date	March 7, 2002	
			First Named Inventor	Jonathan P. Wong	
			Art Unit	1642	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	NEL-0006/FCA

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
WJ	A1	5,703,057-	12-30-1997	Johnston et al.	
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	A3	5,879,687-	03-09-1999	Steven G. Reed	
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	A20	2002/0106798-	08-08-2002	Robinson et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ +Kind Code ⁵ (if known)				
WJ ↓	A21	IB-WO	98/52603-	11-26-1998	Cusi et al.		✓
	A22	IB-WO	01/68802-	09-20-2001	Sung et al.		✓
	A23	IB-WO	01/91536-	12-06-2001	Wang, Danher		✓
	A24	IB-WO	02/40504-	05-23-2002	Kingley et al.		✓

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Examiner Signature		Date Considered	12/21/02
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
MA	A25	Townsend, A. R. M. et al., "Cytotoxic Cell Recognition of the Influenza Nucleoprotein and Hemagglutinin Expressed in Transfected Mouse L Cells" Cell, Vol. 39, Pages 13-25 (November, 1984)	✓	
	A26	Ulmer, J. B. et al., "Heterologous Protection Against Influenza by Injection of DNA Encoding a Viral Protein," Science, Vol. 259, Pages 1745-1749 (March, 1993)	✓	
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	A29	Pardoll et al., "Exposing the Immunology of Naked DNA Vaccines," Immunity, Vol. 3, Pages 165-169 (August, 1995)	✓	
	A30	Cryz et al., "Vaccine Delivery Systems," Reports of the Expert Panels, European Commission/STD Initiative, Vaccine, Vol. 14.7, Pages 665-688 (1996)	✓	
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	A32	Mahato, R. I. et al., "Biodistribution and Gene Expression of Lipid/Plasmid Complexes after Systemic Administration," Human Gene Therapy, Vol. 9, Pages 2083-2099 (September, 1998)	✓	
	A33	Wong, J. P. et al., "Liposome-Mediated Immunotherapy Against Respiratory Influenza Virus Infection Using Double-Stranded RNA Poly ICLC," Vaccine, Vol. 17, Pages 1788-1795 (1999)	✓	
	A34	McCluskie, M. J., "Novel Strategies Using DNA for the Induction of Mucosal Immunity," Critical Reviews in Immunology, Vol. 19, Pages 303-329 (1999)	✓	
	A35	Saravolac, E. G. et al., "Immunoprophylactic Strategies Against Respiratory Influenza Virus Infection," Vaccine, Vol. 19, Pages 2227-2232 (2001)	✓	
	A36	Wong, J. P. et al., "Liposome-Encapsulated Nucleic Acid-Based Drugs and Vaccines as Novel Antiviral Agents," Recent Devel. Antiviral Res., Vol 1, Pages 175-191 (2001)	✓	

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Examiner Signature		Date Considered	5/29/03
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